RAJNEESH SHARMA & Co

COMPANY SECRETARIES

RAJNEESH SHARMA

FCS, Insolvency Professional, Registered Valuer (SFA), MMS (Finance)

FCS No: 5549, CP No: 24210

IP Regn No.: IBBI/IPA-002/IP-N01013/2020-2021/13249

RV Regn No.: IBBI/RV/03/2021/1443

SECRETARIAL COMPLIANCE REPORT OF ARCHIDPLY INDUSTRIES LIMITED FOR THE YEAR ENDED 31st MARCH 2021

I have examined:

- (a) All the documents and records made available to us, either physically or by way of email in view of the prevailing pandemic situation of COVID -19 and explanation provided by M/s ARCHIDPLY INDUSTRIES LIMITED ("the listed entity")
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 [FY 2020-21 ("Review period")] In respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued there-under; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there-under and the Regulations, circulars, guidelines issued there-under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there-under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018: (Not applicable for the current reporting period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018:

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- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014: (Not applicable for the current reporting period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: (Not applicable for the current reporting period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013: (Not applicable for the current reporting period)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the review period:

(a) The Listed entity has complied with the provision of the above Regulations and circular/ Guidelines issued thereunder, except in respect of matters specified below:-

S. NO.	Compliance Requirement				Deviati	ions		Obse	rvatio	ns/Ren	narks
	(Regulations/ c	irculars / G	uide	lines				of	the	Pract	ising
	including specific Clause)						Company Secretary				
1.	As per Regu	lation 31	of	the	The	Shareho	lding	The	Com	pany	has
	Securities and Exchange		Board of		pattern for the		rectified the deviation				
	India (Listing	Obligation	ns	and	quarte	r e	nded	and	has	filed	the
	Disclosure Requir		eme	ments) 31.03.2021 was to		s to	shareholding pattern.				
	Regulations,	2015,		the	be	filed	on				
	shareholding	pattern	of	the		2021.	The				
	Company is	to be f	iled	on	Compa	iny has	filed				
	quarterly ba	asis with	S	tock	the	same	on				
	exchange on which the Cor is listed within 21 days fro			pany	24.05.2021 with the BSE & on 17.05.2021						
				the							
	end of the closure of eac				with NSE. There has						
	Quarter					been delay in filing.					

- (b) The Listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/its promoters/Directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued

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by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/guidelines issued thereunder:

S. No	Action taken by	Details of violation	Details of action	Observations/	
			take e.g. fines,	Remarks of the	
			warning letter,	Practicing	
			debarment etc.	Company	
				Secretary, if Any.	
1.	Bombay Stock	Regulation 31 of	Fine of Rs. 59000/-	The Company	
	Exchange (BSE)	SEBI (LODR),2015:	each has been	had already paid	
	&	Delay in filing the	levied by BSE &	the fines to the	
	National Stock	shareholding	NSE respectively	Stock Exchanges	
	Exchange (NSE)	pattern for the	for the delay of 25	regarding the	
		quarter ended 31st	days in filing the	violation on May	
		March,2021	shareholding	31, 2021 and	
			pattern for the	have requested	
			quarter ended	the exchange for	
			March 31, 2021	the waiver of	
			A N	fine. Which is	
				pending for	
				consideration	
				before the Stock	
			10	exchanges.	

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: [Not applicable to the company];

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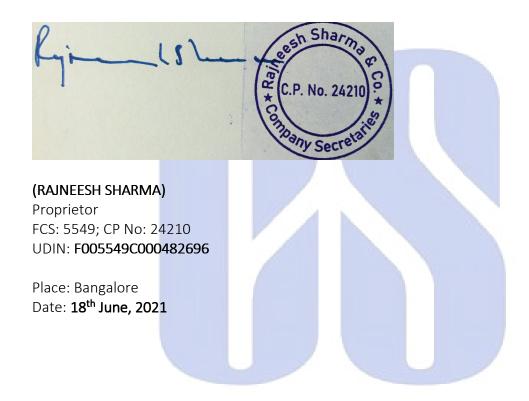
FCS No: 5549, CP No: 24210

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(e) The reporting of clause 6(A) and 6(B) of the circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by the Securities and Exchange Board of India on "Resignation of statutory auditors from listed entities and their material subsidiaries" is not applicable during the Review Period.

For RAJNEESH SHARMA & CO, COMPANY SECRETARIES



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